



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/005,202	12/04/2001	Keith D. Allen	R-902

DELTAGEN, INC.  
740 Bay Road  
Redwood City, CA 94063



CONFIRMATION NO. 6809

## FORMALITIES LETTER



Date Mailed: 01/31/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 370 to complete the basic filing fee for a small entity.*
- Total additional claim fee(s) for this application is \$641.
  - \$81 for 9 total claims over 20.
  - \$420 for 10 independent claims over 3.
  - \$140 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1076.

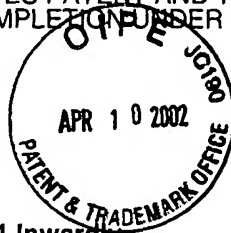
*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/11/2002 YPDLITE1 09000018 10005202  
01 FC:201 370.00  
02 FC:204 140.00  
03 FC:205 65.00  
04 FC:202 462.00  
05 FC:203 90.00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE  
FILING COMPLETION UNDER RULE 53(f)



In re PATENT APPLICATION of

Inventors: **Keith D. ALLEN**

Appln. No.: **10/005,202**

Filed: **December 4, 2001**

Title: **Transgenic Mice C ntaining Kir5.1 Inwardly  
Rectifying P tassium Chann l Gene Disruptions**

Docket/Order No.

**R-902**

Deposit Account No.

**50-1271**

Customer No.

**26619**

Date: **March 28, 2002**

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

The following **completes the filing** under Rule 53(f) of the above-identified patent application:

1. ☒ Enclosed is a copy of the Notice to File Missing Parts.
2. ☒ Signed Declaration enclosed: ☒ Original ☐ facsimile/copy
3. ☒ Enclosed is an Assignment and cover sheet. Please return the recorded Assignment to the undersigned.
4. ☒ Applicants hereby claim "small entity" status pursuant to 37 CFR §1.27.

**THE FOLLOWING FILING FEE IS BASED ON CLAIMS AS FILED LESS ANY ABOVE CANCELLED**

5. Basic Filing Fee .....					\$370.	\$ 370.00	201
6. Total Claims	30	minus 20 =	10	x	9.	+ 90.00	203
7. Independent Claims	14	minus 3 =	11	x	42.	+ 462.00	202
8. Multiple Dependent Claims					140.	+ 140.00	204
9. Surcharge for filing Declaration/filing fee late					65.	+ 65.00	205
10. FILING FEE: <input type="checkbox"/> CHARGE AUTHORIZATION <input checked="" type="checkbox"/> ENCLOSED =						\$ 1127.00	
11. Original due date: <b>March 31, 2002</b>							
12. Petition is hereby made to extend the original due date to cover the date of this response, for which the requisite fee is enclosed.					\$ 55. / 200. 460. / 720.	.00	215/216 217/218
13. If an Assignment is included, add recording fee .....					\$ 40.	+ 40.00	581
TOTAL FEE (enclosed): =						\$ 1167.00	

**CHARGE STATEMENT:** The Commissioner is hereby authorized to charge any missing fees required, or credit any overpayment, to our Account/Order No. shown above, for which a duplicate copy of this sheet is enclosed.

**DELTAGEN, INC.**

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Redwood City, CA 94063  
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Robert J. Driscoll Reg. No. 47,536

**CERTIFICATE OF MAILING**

I certify that the enclosed papers are being deposited with the U.S. Postal Service as first class mail, postage paid, in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231, on March 28, 2002.

  
Deborah A. Mojarro